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AGENDA

Committee AUDIT COMMITTEE

Date and Time of Meeting TUESDAY, 30 JANUARY 2018, 2.00 PM

Venue COMMITTEE ROOM 4 - COUNTY HALL

Membership Ian Arundale (Chair)
 Gavin McArthur, David Price, D. Hugh Thomas

Councillors Bale, Cowan, Cunnah, Howells, Lay, McGarry, Dianne Rees and Singh

Time approx.

1 Apologies for Absence

To receive apologies for absence.

2 Declarations of Interest

To be made at the start of the agenda item in question, in accordance with the Members' Code of Conduct.

3 Minutes (Pages 1 - 8)

To approve as a correct record the minutes of the meeting held on 28 November 2017.

4 Operational Matters (Pages 9 - 30)

2.05 pm

Appendices C and D of the report are not for publication as they contain exempt information of the description in paragraphs 14 and 21 of Schedule 12A of the Local Government Act 1972

4.1 Tony Young, Director Social Services – Update on Internal Control Environment

| | | |
|-----------|--|---------|
| 5 | Finance <i>(Pages 31 - 36)</i> | 2.25 pm |
| | 5.1 Financial Update including Resilience Issues | |
| | 5.2 New Financial Procedure Rules New Contract Standing Orders and Procurement Rules | |
| 6 | Internal Audit <i>(Pages 37 - 144)</i> | |
| | 6.1 Public Sector Internal Audit Standards 2.45pm | |
| | 6.2 Internal Audit Team 2.50pm | |
| | 6.3 Investigation Team 3.00pm | |
| | 6.4 Draft Internal Audit Charter 2018/19 3.10pm and Draft Audit Plan 2018/19 | |
| 7 | Governance and Risk Management <i>(Pages 145 - 210)</i> | |
| | 7.1 Senior Management Assurance Statement Review 3.20pm | |
| | 7.2 AGS 2017-18 Action Plan (Mid-Year 3.30pm | |
| | 7.3 Draft Code of Corporate Governance 3.40pm | |
| | 7.4 Draft Risk Management Policy 3.50pm | |
| 8 | Wales Audit Office <i>(Pages 211 - 216)</i> | 4.00 pm |
| | 8.1 WAO Activity/Progress Report Update | |
| 9 | WAO Tracker and Other Studies | 4.10 pm |
| 10 | Treasury Management <i>(Pages 217 - 260)</i> | |
| | Appendix 1 of the report is not for publication as it contains exempt information of the description in paragraphs 14 and 21 of Schedule 12A of the Local Government Act 1972 | |
| | 10.1 Performance Report 4.15pm | |
| | 10.2 Draft Strategy 2018-19 4.25pm | |
| 11 | Scrutiny Correspondence | 4.35 pm |
| 12 | Outstanding Actions | |
| 13 | Work Programme Update <i>(Pages 261 - 264)</i> | 4.40 pm |

14 Urgent Business

15 Date of next meeting

The next meeting will be held on 27 March 2018.

Davina Fiore

Director Governance & Legal Services

Date: Wednesday, 24 January 2018

Contact: Graham Porter, 029 2087 3401, g.porter@cardiff.gov.uk

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